

Fattori predittivi e modellistica della risposta al trattamento



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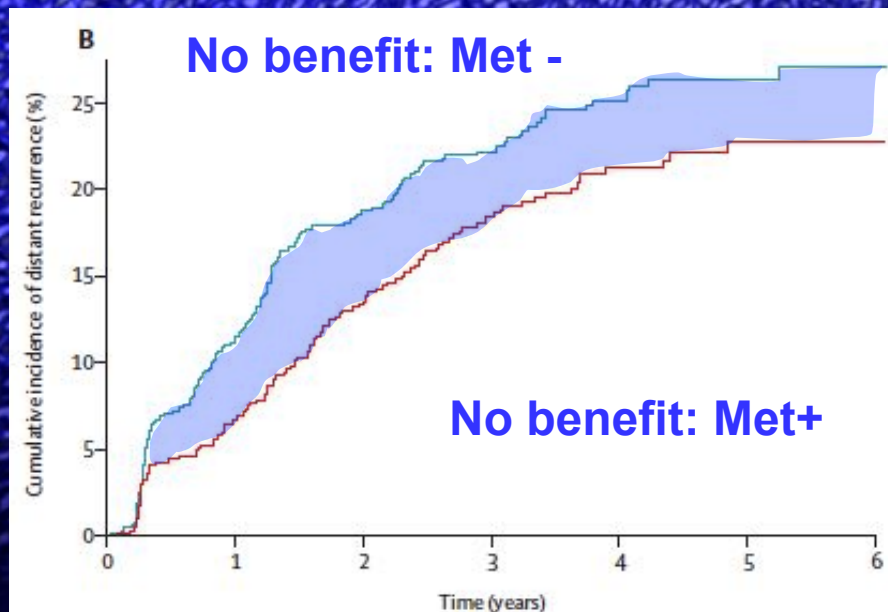
Policlinico Agostino Gemelli
Università Cattolica del Sacro Cuore

Gemelli ART
Advanced Radiation Therapy

The issue of clinical decision



Dealing with covariates



	Investigational group (events/n)	Control group (events/n)	HR (95% CI)
Age (years)			
<61	52/230	84/241	0.61 (0.43-0.86)
61-70	58/238	64/233	0.87 (0.61-1.24)
>70	49/145	50/149	1.06 (0.71-1.58)
Sex			
Male	112/434	149/440	0.73 (0.57-0.93)
Female	47/179	49/183	0.98 (0.65-1.46)
ECOG performance status			
0	115/483	136/475	0.80 (0.62-1.02)
1-2	43/123	58/141	0.86 (0.58-1.28)
Location from anal verge			
0-5 cm	61/249	87/216	0.57 (0.41-0.79)
>5-10 cm	83/302	84/336	1.10 (0.81-1.49)
>10 cm	14/55	24/64	0.64 (0.33-1.25)
cT category			
cT2-3	145/571	169/569	0.83 (0.67-1.04)
cT4	14/41	27/50	0.62 (0.32-1.18)
cN category			
cN0	33/146	58/159	0.56 (0.36-0.86)
cN+	123/452	134/451	0.91 (0.71-1.16)
ypT category			
ypT0	12/114	6/83	1.52 (0.57-4.05)
ypT1a/T1L	9/39	7/38	1.40 (0.52-3.76)
ypT2	29/160	41/183	0.77 (0.48-1.24)
ypT3	92/260	120/278	0.78 (0.60-1.03)
ypT4	9/17	17/26	0.76 (0.34-1.70)
ypN category			
ypN0	75/416	94/423	0.78 (0.58-1.06)
ypN1	42/133	53/134	0.82 (0.55-1.22)
ypN2	30/42	44/60	1.09 (0.65-1.81)
Completeness of local tumour resection			
R0	135/567	167/584	0.80 (0.64-1.01)
R1	6/15	7/9	0.40 (0.13-1.25)
TNM stage			
ypT0N0	9/104	6/81	1.20 (0.43-3.36)
Stage I	19/148	30/176	0.72 (0.40-1.28)
Stage II	40/154	48/148	0.74 (0.49-1.13)
Stage III	57/154	71/169	0.89 (0.63-1.28)
Type of surgery			
Low anterior resection	98/398	109/416	0.98 (0.74-1.29)
intersphincteric resection	5/31	10/30	0.46 (0.16-1.35)
Abdominoperineal resection	45/151	67/152	0.58 (0.39-0.85)
Total	159/613	198/623	0.79 (0.64-0.98)

Hazard ratio

Favours Investigational group Favours control group

Dealing with covariates

Logistic regression

Dataset: 400 cases

```
glm(formula = Outcome ~ Age + cT + cN, family = binomial(link = "logit"))
```

Coefficients:

	Estimate	Std. Error	z value	Pr(> z)	
(Intercept)	-3.63606	0.63758	-5.703	1.18e-08 ***	beta0
Age	β_1 <u>0.05229</u>	0.00962	5.435	5.48e-08 ***	beta1
cT	β_2 <u>0.27765</u>	0.09908	2.802	0.00507 **	beta2
cN	β_3 <u>0.62634</u>	0.21899	2.860	0.00424 **	beta3

Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

$$P = \frac{1}{1 + e^{-(\beta_0 + \beta_1 \cdot \text{Age} + \beta_2 \cdot \text{cT} + \beta_3 \cdot \text{cN})}}$$

Dealing with covariates

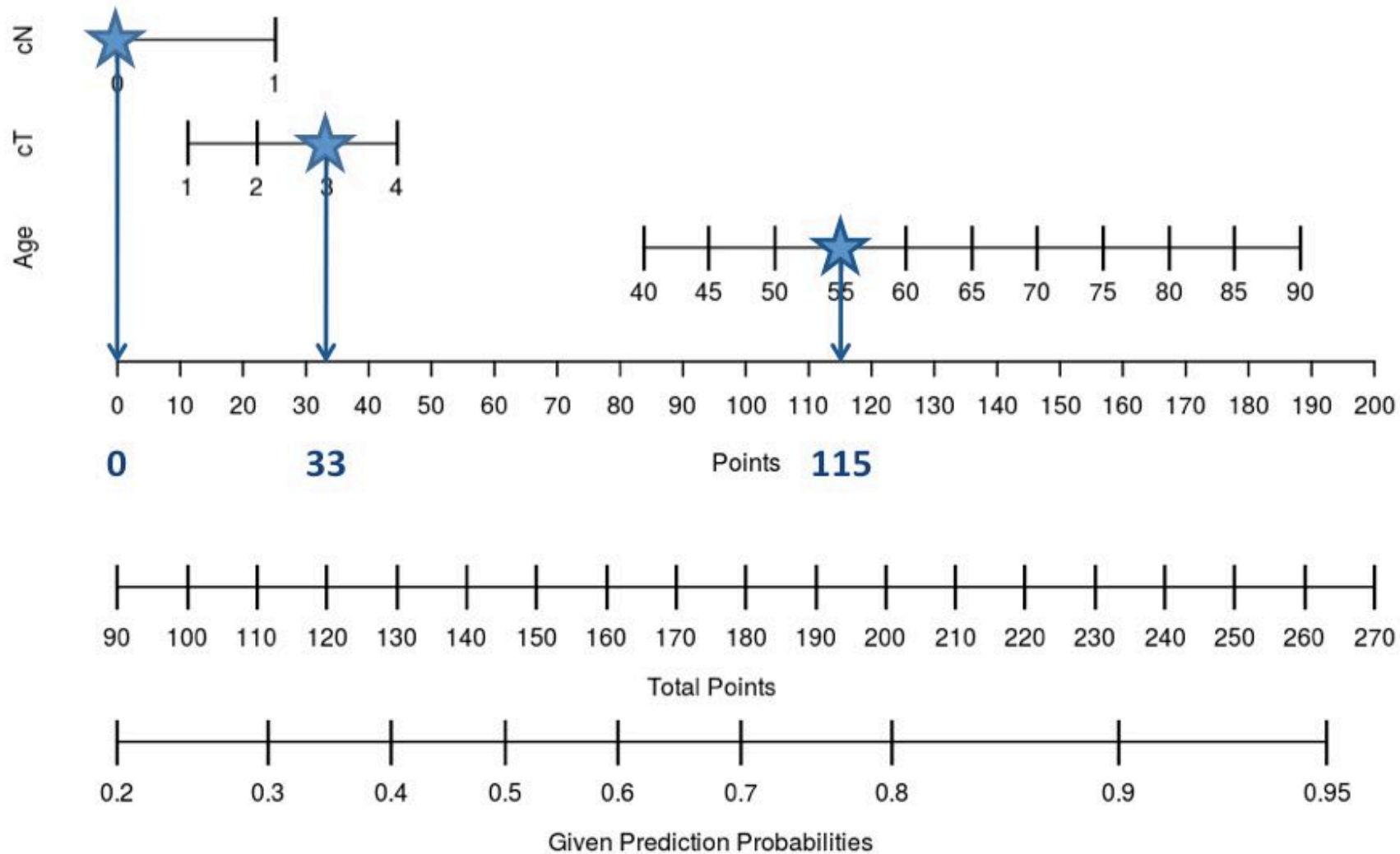
- By the model equation

$$P = \frac{1}{1 + e^{-(\beta_0 + \beta_1 \cdot \text{Age} + \beta_2 \cdot \text{cT} + \beta_3 \cdot \text{cN})}}$$

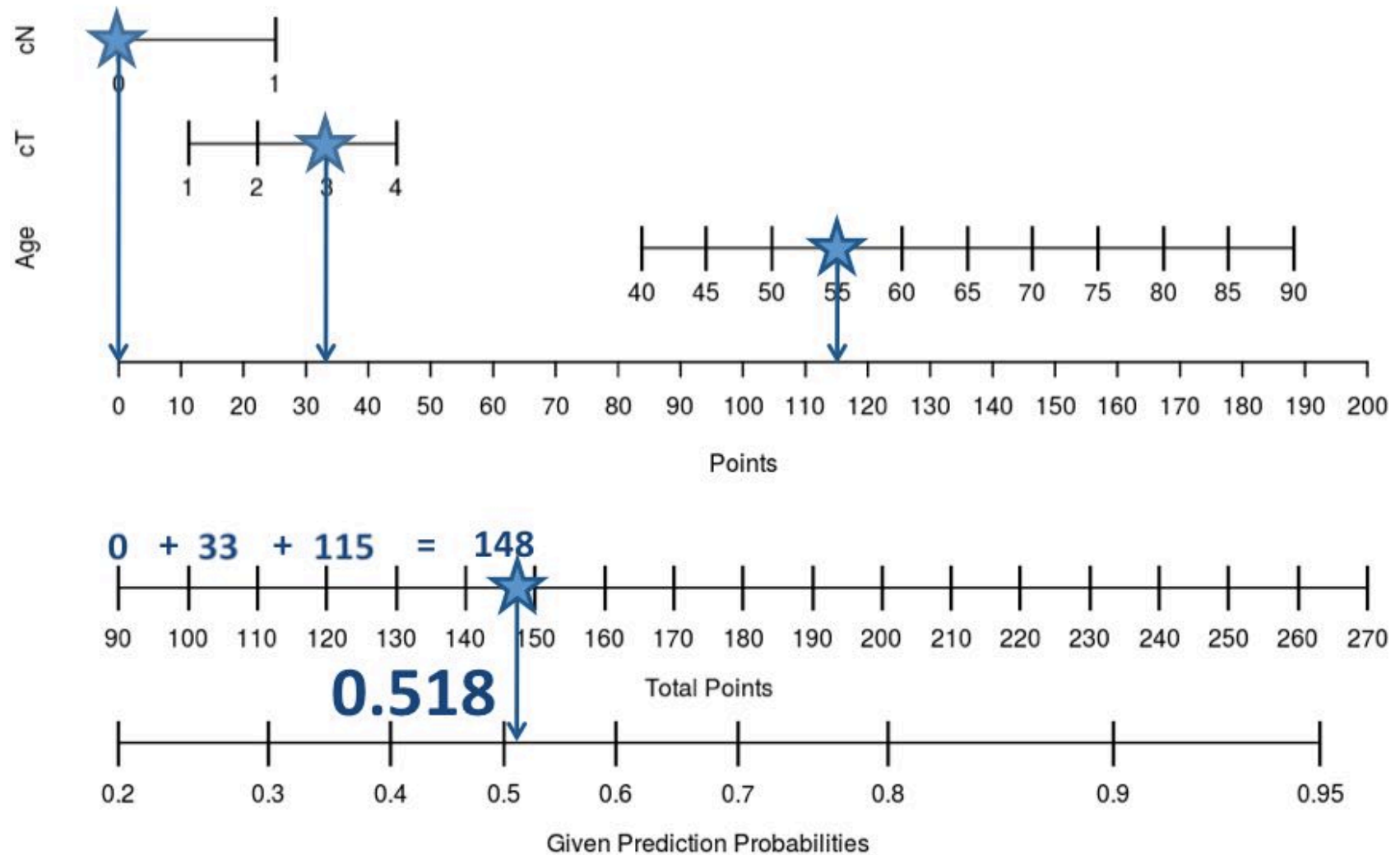
How can I predict the probability for a patients with Age = 55, cT = 3, cN = 0 ?

$$P = \frac{1}{1 + e^{-(3.64 + 0.052 \cdot 55 + 0.28 \cdot 3 + 0.63 \cdot 0)}} = 0.518$$

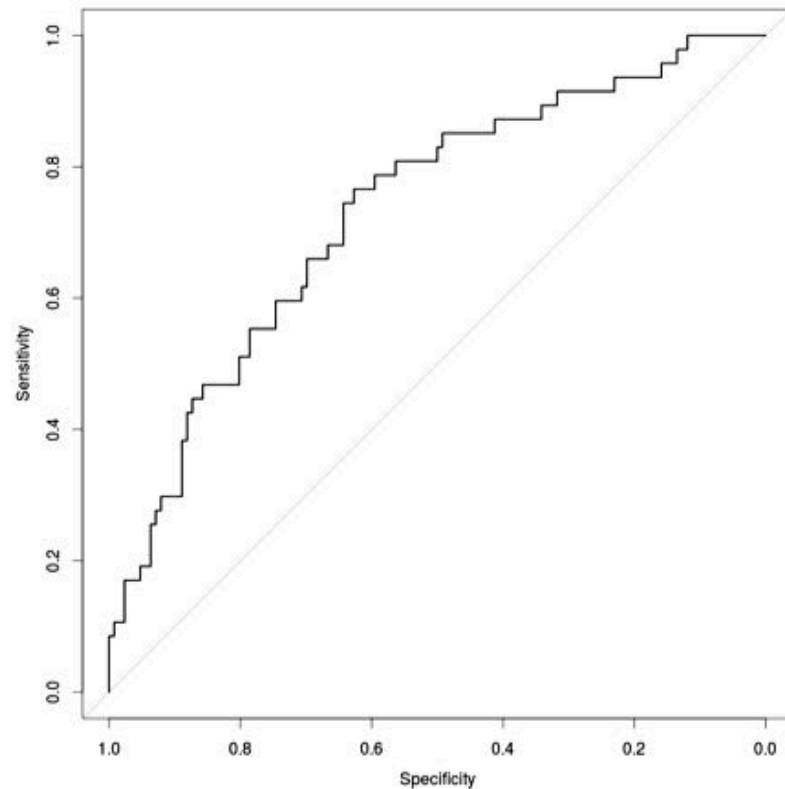
Dealing with covariates



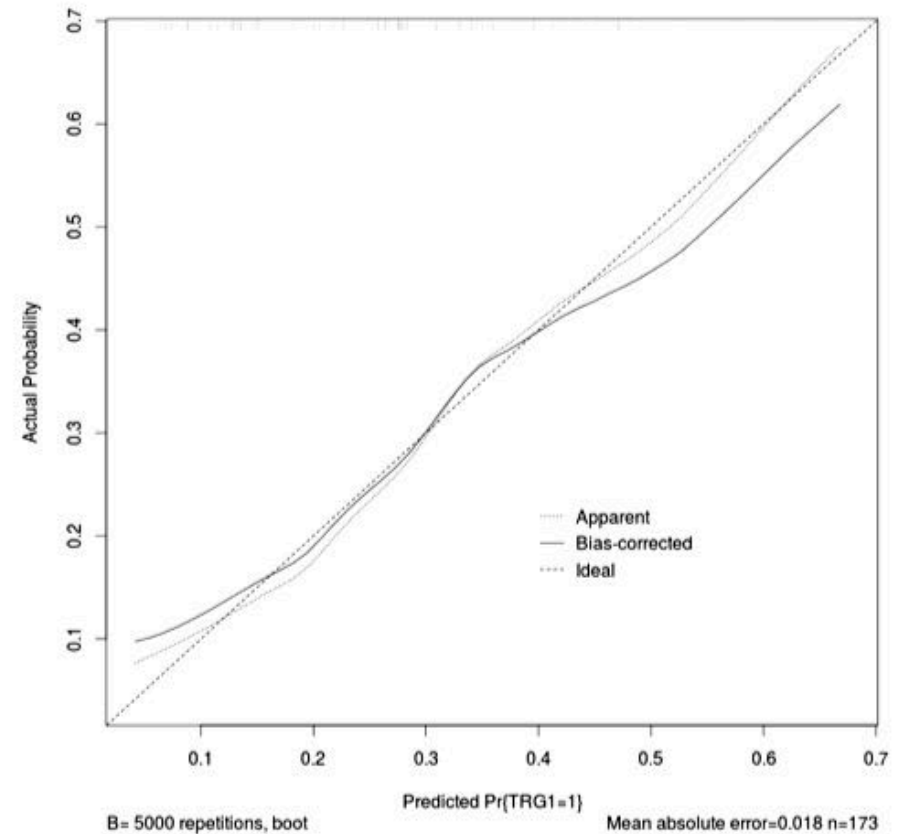
Dealing with covariates



Dealing with covariates

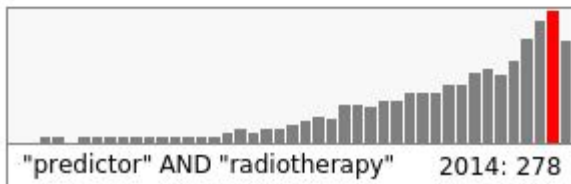
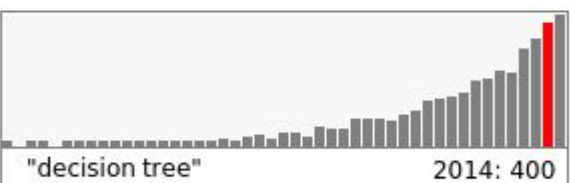
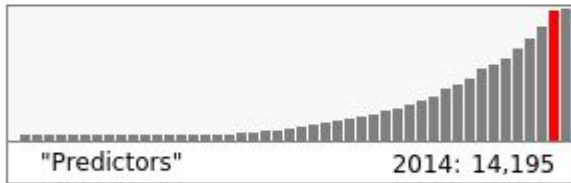
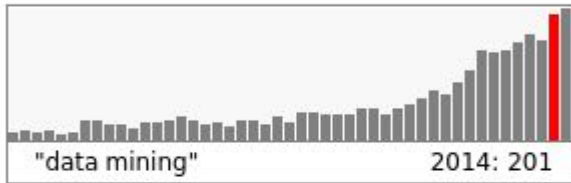
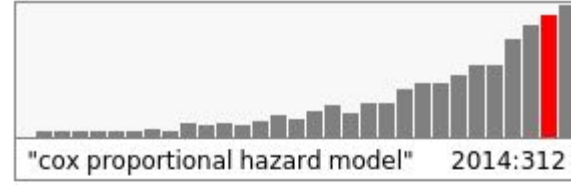
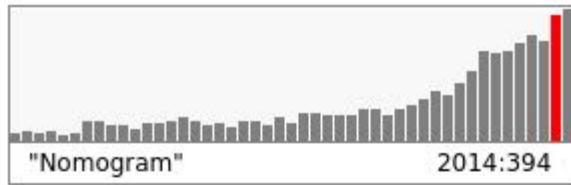


AUC = 0.73



Bootstrap 5000 repetitions
Mean absolute error = 0.018

Personalization by prediction models



Level of evidence scoring criteria

DIABETOLOGICA
DOI: 10.1111/di.12254

TRIPOD Statement
Transparent Reporting of a Multivariable Prediction Model for Individual Prognosis or Diagnosis (TRIPOD): the TRIPOD Statement

G. S. Collins¹, J. B. Reitsma², D. G. Altman¹ and K. G. M. Moons³

¹Centre for Statistics in Medicine, Nuffield Department of Orthopaedics, Rheumatology and Musculoskeletal Sciences, Biostat Research Centre, University of Oxford, Oxford, UK and ²Tulane Center for Health Sciences and Primary Care, University Medical Center Utrecht, Utrecht, the Netherlands

DOI: 10.1111/1469-7577

METHOD

Transparent reporting of a multivariable prediction model for individual prognosis or diagnosis (TRIPOD): the TRIPOD Statement

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RESEARCH METHODS & REPORTING

Transparent reporting of a multivariable prediction model for individual prognosis or diagnosis (TRIPOD): the TRIPOD statement

Gary S Collins *associate professor*¹, Johannes B Reitsma *associate professor*², Douglas G Altman *professor*¹, Karel G M Moons *professor*²

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The TRIPOD Statement

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European Association of Urology

Guidelines

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¹Centre for Statistics in Medicine, Nuffield Department of Orthopaedics, Rheumatology and Musculoskeletal Sciences, Biostat Research Centre, University of Oxford, Oxford, UK, ²Tulane Center for Health Sciences and Primary Care, University Medical Center Utrecht, Utrecht, the Netherlands

GUIDELINE

BJC
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Keywords: prediction models; diagnosis; prognosis; model development; model validation; transparent reporting

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ELSEVIER

Journal of Clinical Epidemiology

Journal of Clinical Epidemiology 68 (2015) 113–121

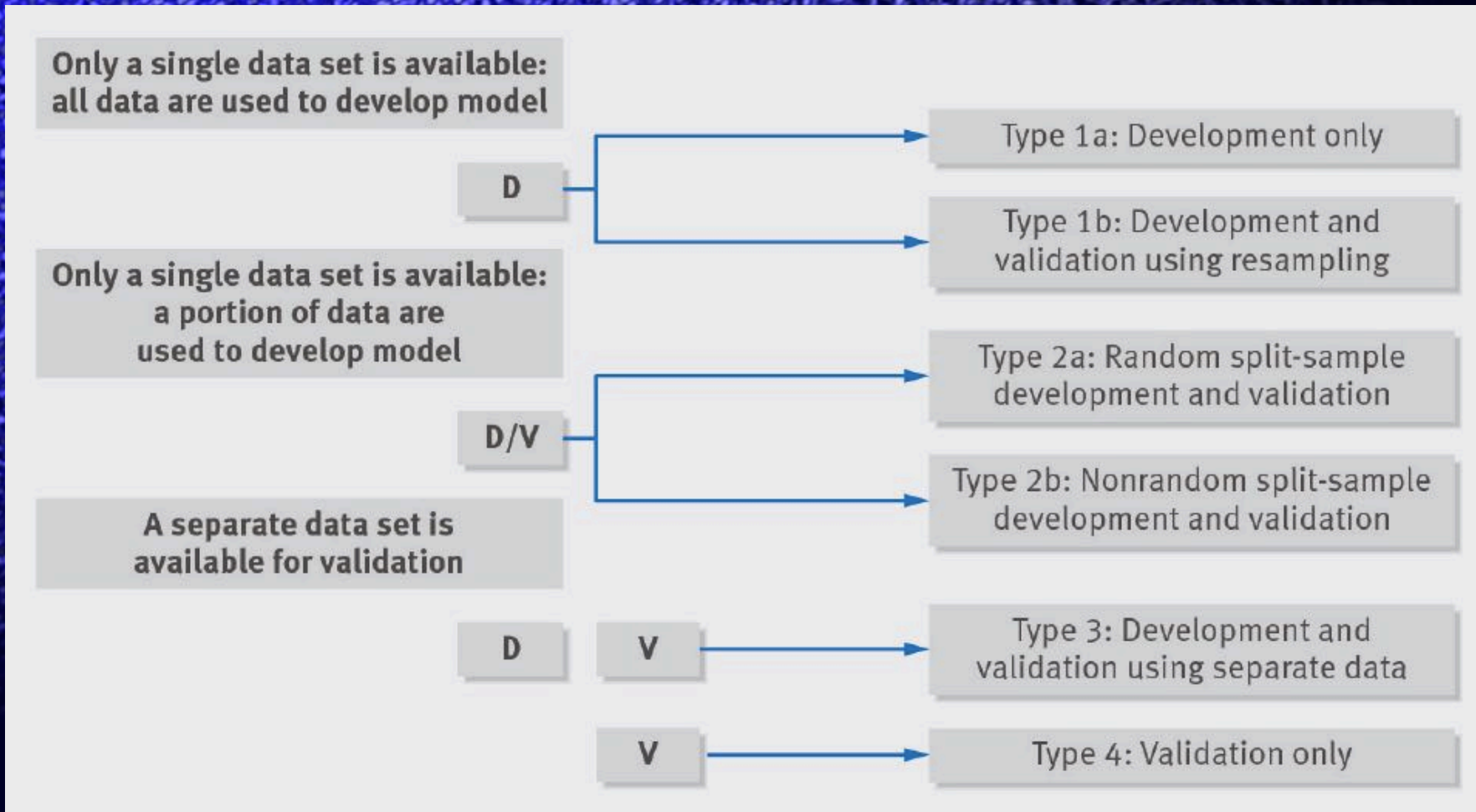
SPECIAL ARTICLE

Transparent Reporting of a Multivariable Prediction Model for Individual Prognosis Or Diagnosis (TRIPOD): the TRIPOD statement

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Level of evidence scoring criteria



From Data Mining to Prediction Model

- Clinical decision and covariates
- Prediction models in rectal cancer
- Transferability metric

From Data Mining to Prediction Model

- Clinical decision and covariates
- Prediction models in rectal cancer
- Transferability metric

Clinical Decision

INTENSIFICATION

Neoadjuvant
regimen



cStad
+

ADAPTIVE 1

Surgery procedure



yStad
+

ADAPTIVE 2

Adjuvant Chemo
Follow-up density



ypStad
+

RT_±CT

Surgery

Personalization by prediction models

3253 CRT patients



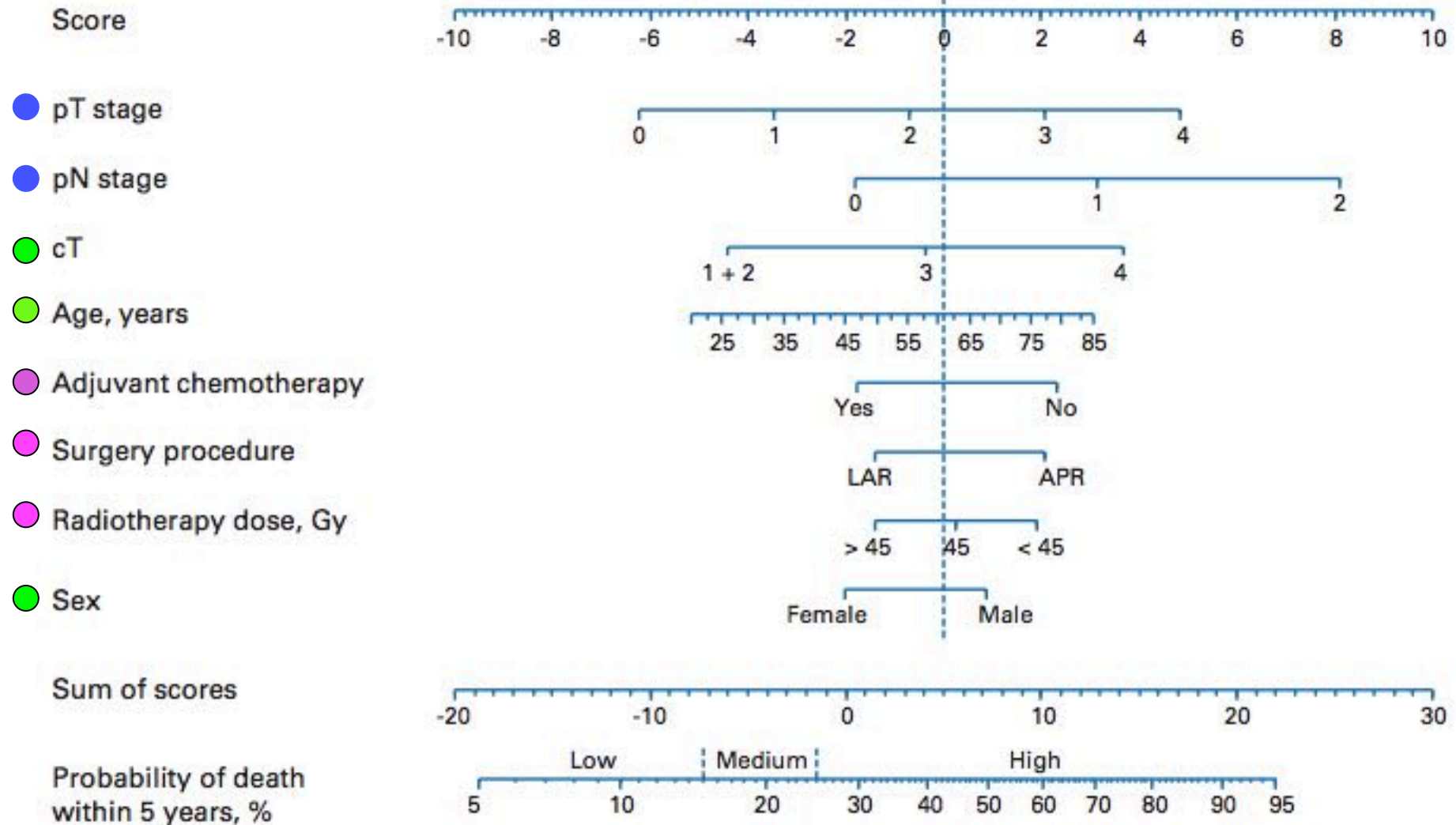
- The EORTC trial (Bosset et al, 2006)
- The French trial (Gerard et al. 2006)
- The German trial (Sauer et al. 2004)
- The Polish trial (Bujko et al. 2006)
- Italian CNR (Cionini L. et al. 2015)

Personalization by prediction models

Variable	Cox Proportional Hazards Regression			Nomogram	
	HR*	95% CI	P	Performance (c-index)	95% CI
Local recurrences					
Sex	0.98	0.87 to 1.10	.703		
Age	0.87	0.78 to 0.97	.016†		
cT stage	1.18	1.06 to 1.31	.002†		
Tumor location	0.97	0.84 to 1.12	.644		
RT dose	0.98	0.85 to 1.12	.732	Training: 0.71	0.67 to 0.74
Concurrent chemotherapy	0.81	0.72 to 0.91	.001†	Validation: 0.68	0.59 to 0.76
Surgery procedure	1.15	1.00 to 1.33	.057		
pT stage	1.64	1.38 to 1.95	< .001†		
pN stage	1.26	1.13 to 1.40	< .001†		
Adjuvant chemotherapy	0.81	0.72 to 0.92	.001†		
Distant metastases					
Sex	0.94	0.87 to 1.01	.100		
Age	1.00	0.93 to 1.08	.941		
cT stage	0.99	0.91 to 1.06	.723		
Tumor location	0.92	0.84 to 1.00	.062		
RT dose	0.95	0.88 to 1.03	.193	Training: 0.71	0.69 to 0.74
Concurrent chemotherapy	1.05	0.97 to 1.13	.238	Validation: 0.73	0.68 to 0.77
Surgery procedure	1.12	1.03 to 1.23	.010†		
pT stage	1.42	1.28 to 1.57	< .001†		
pN stage	1.54	1.44 to 1.64	< .001†		
Adjuvant chemotherapy	0.90	0.83 to 0.97	.006†		
Overall survival					
Sex	0.87	0.81 to 0.94	.001†		
Age	1.13	1.05 to 1.22	.001†		
cT stage	1.13	1.06 to 1.21	< .001†		
Tumor location	0.98	0.90 to 1.07	.710		
RT dose	0.91	0.85 to 0.98	.016†	Training: 0.68	0.66 to 0.71
Concurrent chemotherapy	1.04	0.97 to 1.12	.302	Validation: 0.70	0.65 to 0.74
Surgery procedure	1.18	1.08 to 1.28	< .001†		
pT stage	1.33	1.21 to 1.46	< .001†		
pN stage	1.35	1.26 to 1.44	< .001†		
Adjuvant chemotherapy	0.82	0.76 to 0.88	< .001†		

Nomogram

Survival



Clinical Decision

INTENSIFICATION

Neoadjuvant
regimen



cStad
+

ADAPTIVE 1

Surgery procedure



yStad
+

ADAPTIVE 2

Adjuvant Chemo
Follow-up density



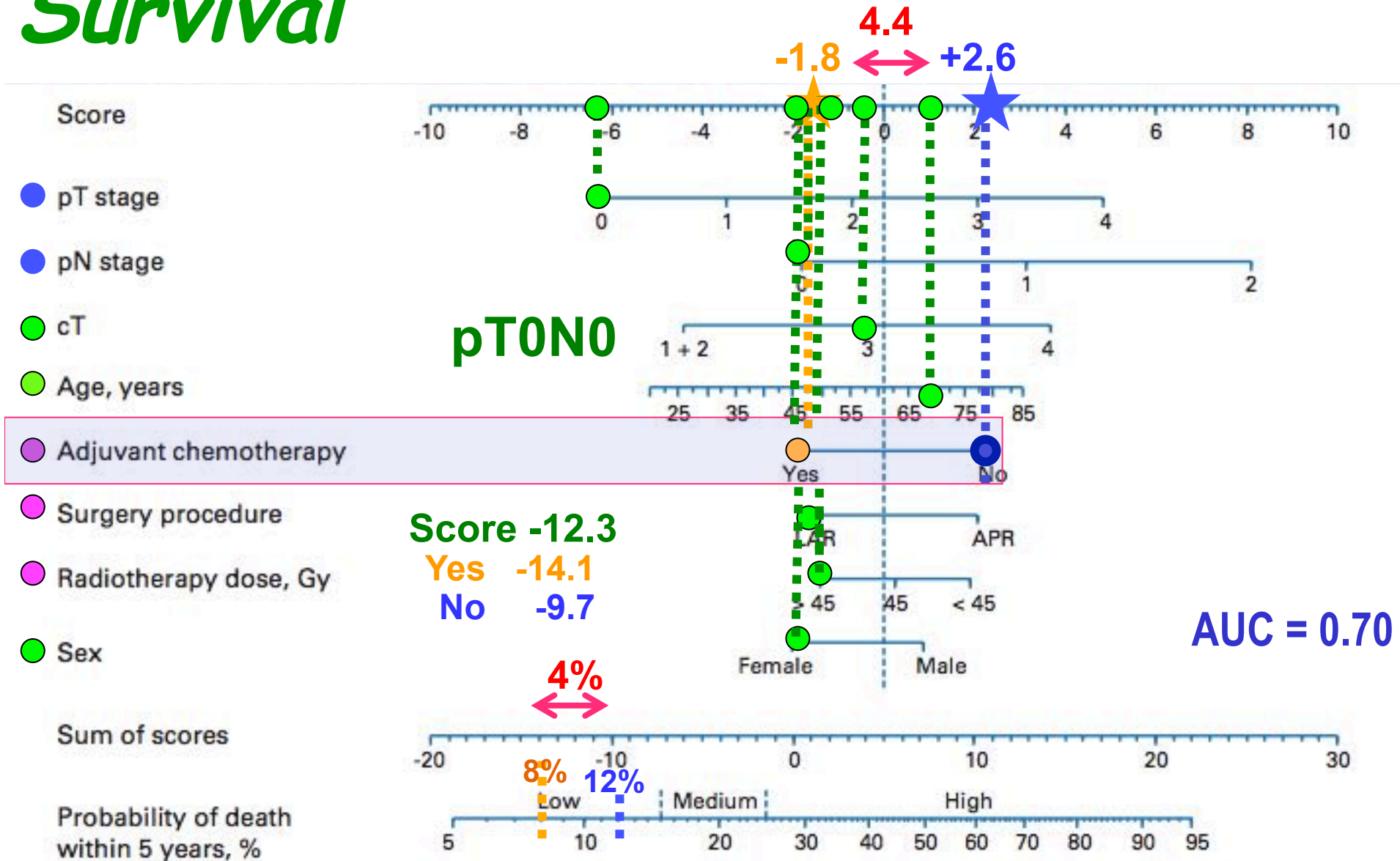
ypStad
+

RT_±CT

Surgery

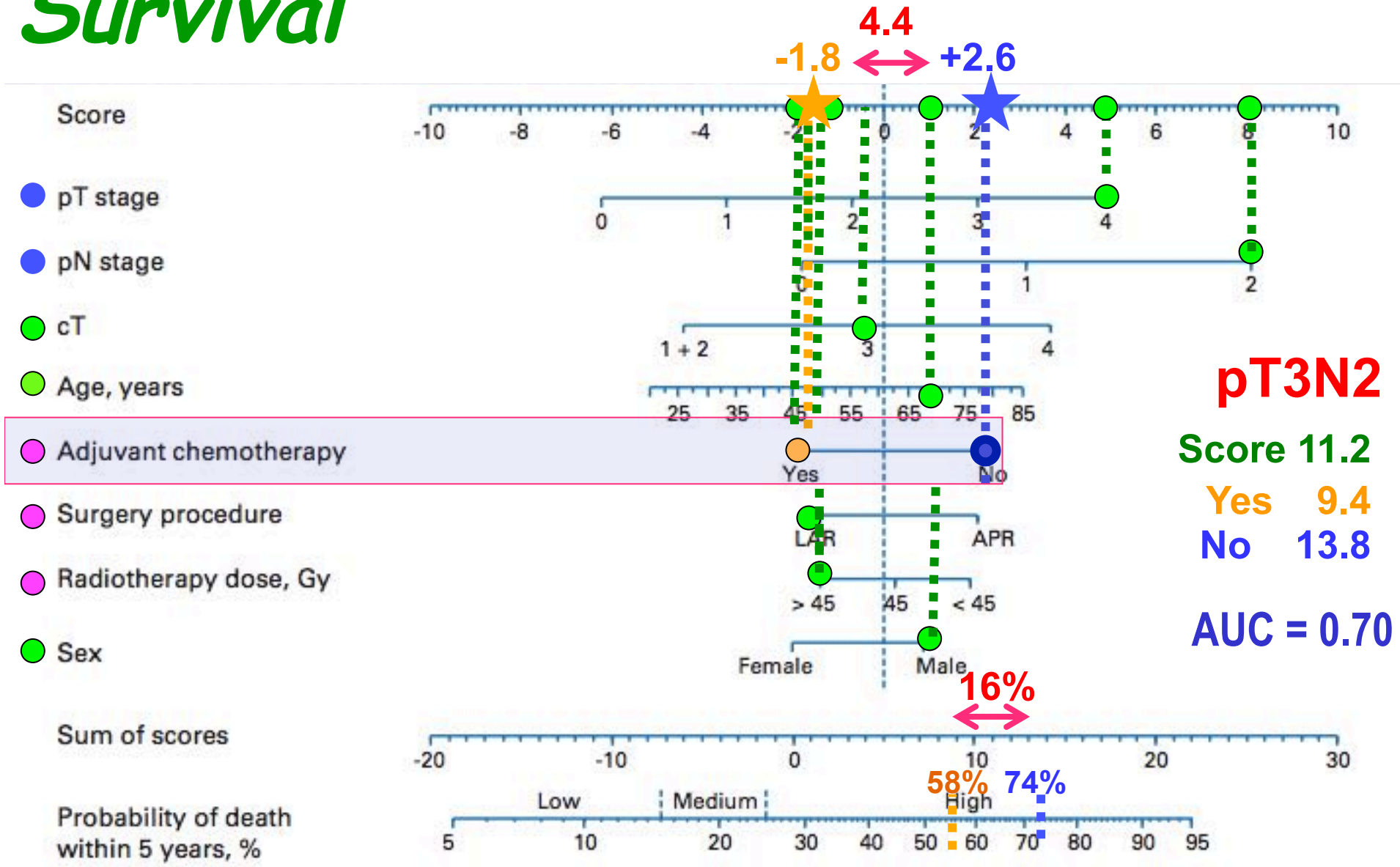
Nomogram

Survival



Nomogram

Survival



Support to Clinical Decision

INTENSIFICATION

Neoadjuvant regimen

ADAPTIVE 1

Surgery procedure

ADPTIVE 2

Adjuvant Chemo
Follow-up density

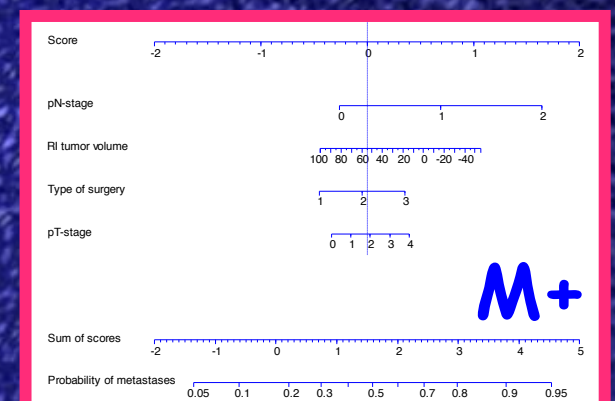
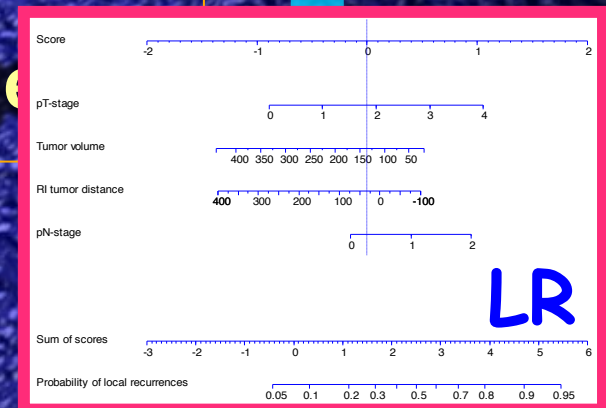
RT_±CT

Surge

cStad

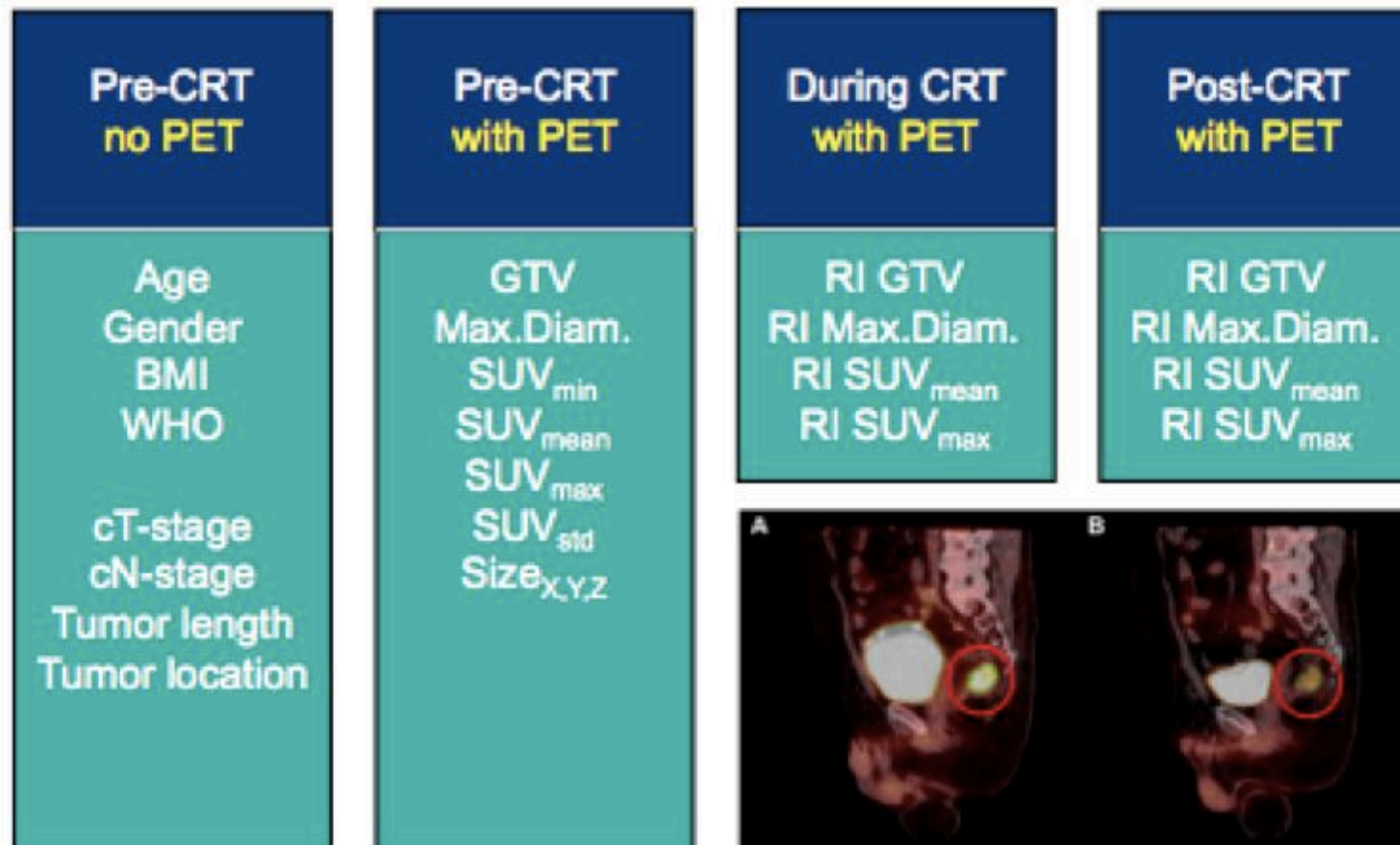
yStad

PET



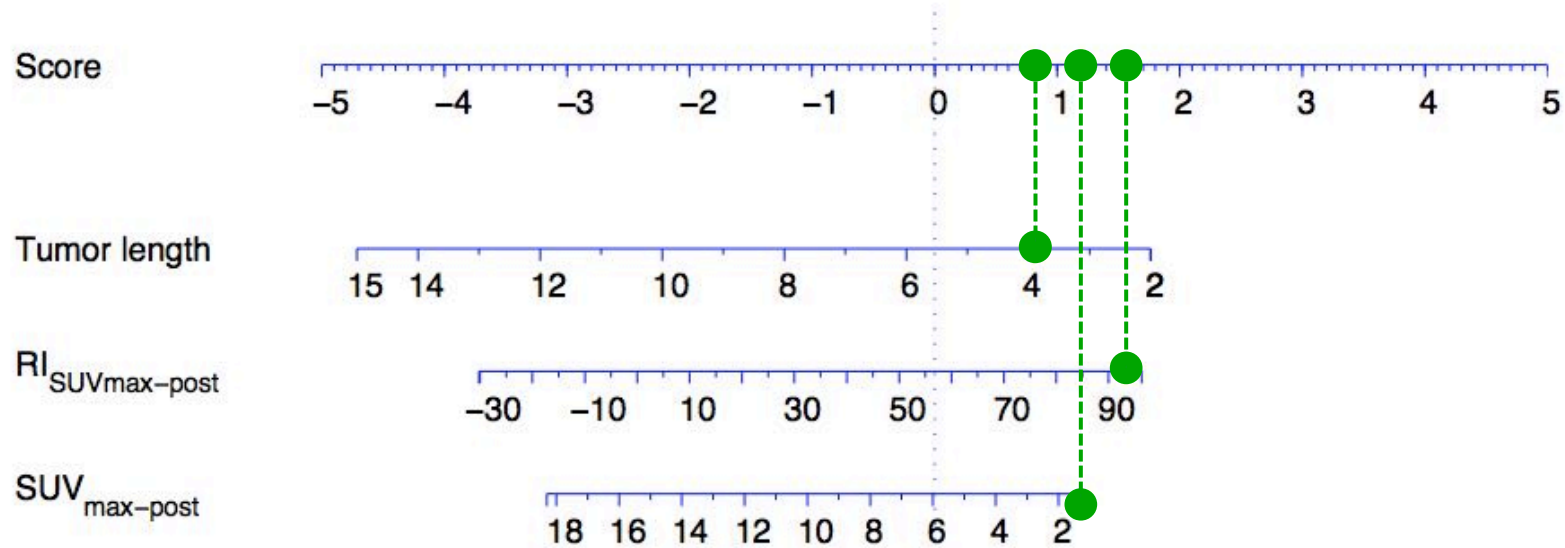
Personalization by prediction models

Leuven Maastricht Rome Rovigo



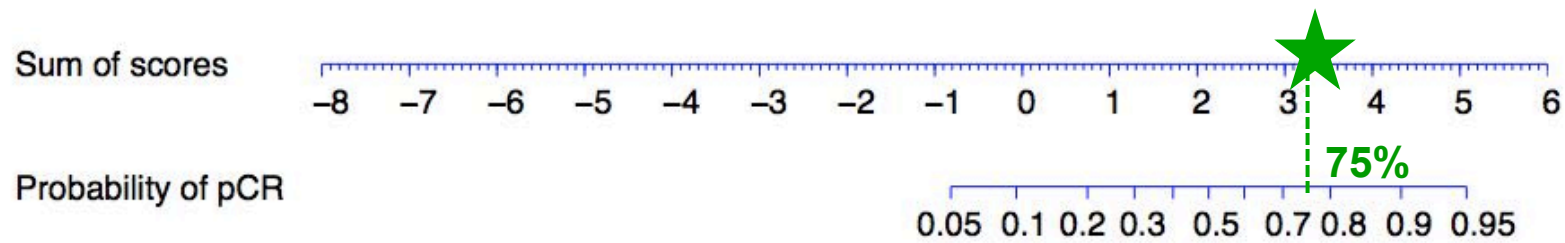
Nomogram

pCR



Sum of scores 3.3

AUC = 0.86



Support to Clinical Decision

INTENSIFICATION

Neoadjuvant regimen

ADAPTIVE 1

Surgery procedure

ADPTIVE 2

**Adjuvant Chemo
Follow-up density**

RT_±CT

Surgery

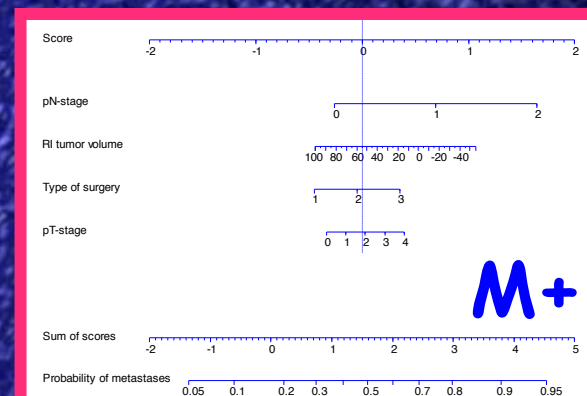
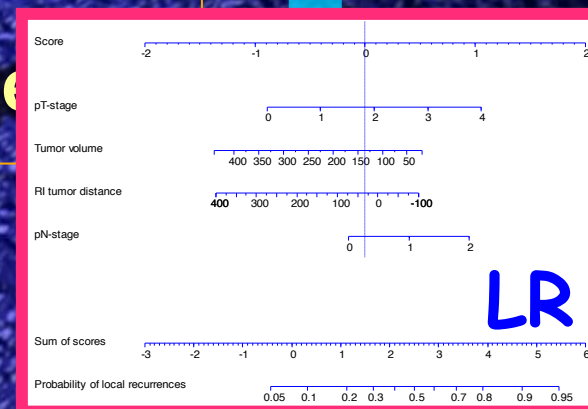
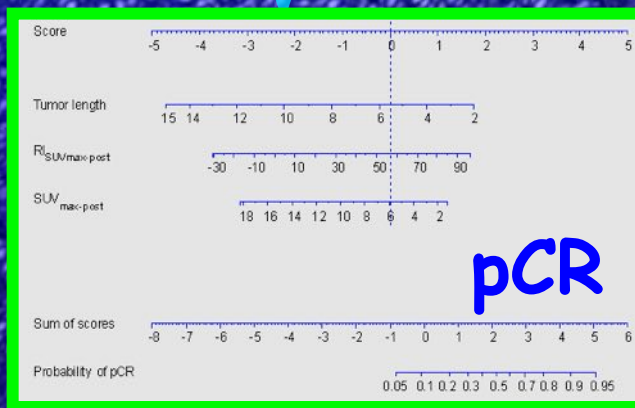
cStad

pCR

LR

M+

PET



Thunder Trial

Study characteristics

	Maastricht [Training]	Rome [Validation]
THUNDER 2010 - 2012	65	59
Prospective studies 2004 - 2009	47	19
Total	112	78

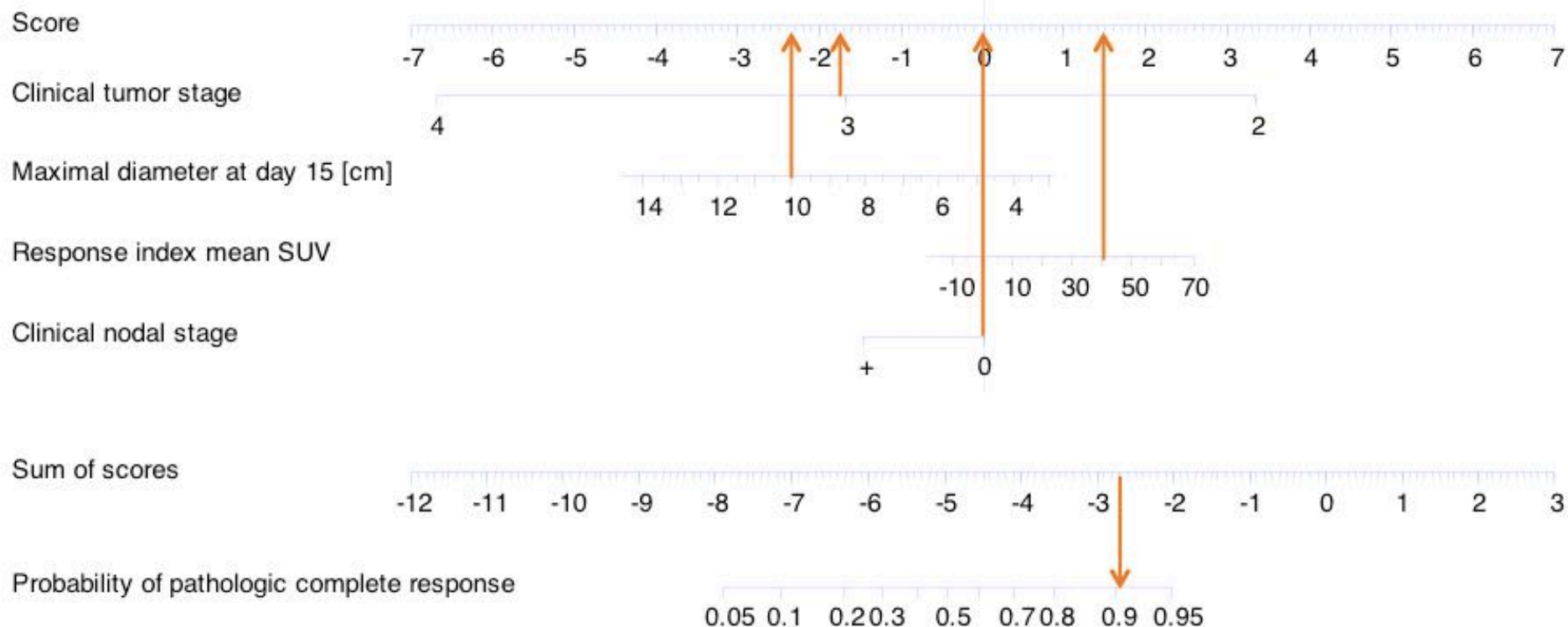
Pretreatment	During treatment	Outcome
Gender Age cT-stage cN-stage	SUVmean15 SUVmax15 MTV15 MaxDiam15	pCR based on ypT0N0
SUVmean0 SUVmax0 MTV0 MaxDiam0	RI_SUVmean RI_SUVmax RI_MTV RI_MaxDiam	



Rectal Cancer perspective: IGTherapy

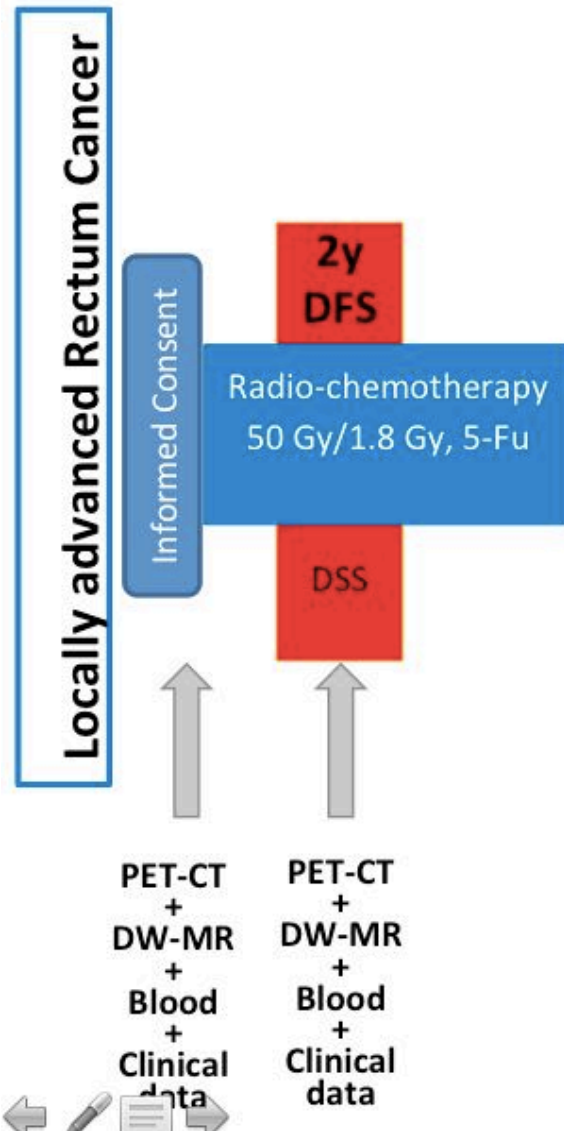
pCR

Nomogram



THUNDER-2 Trial Framework

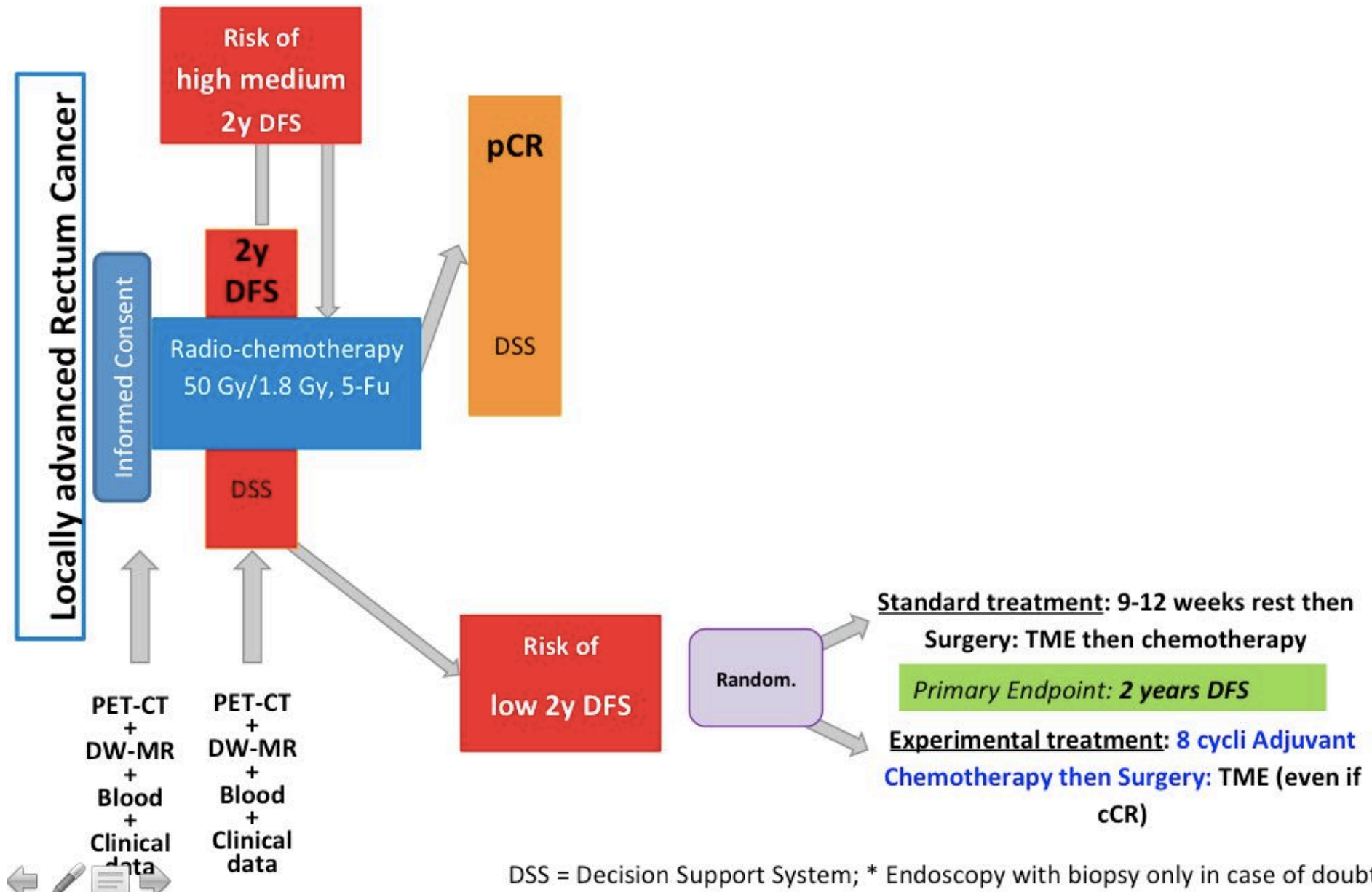
Inclusion: cT3 any cN+



DSS = Decision Support System; * Endoscopy with biopsy only in case of doubt

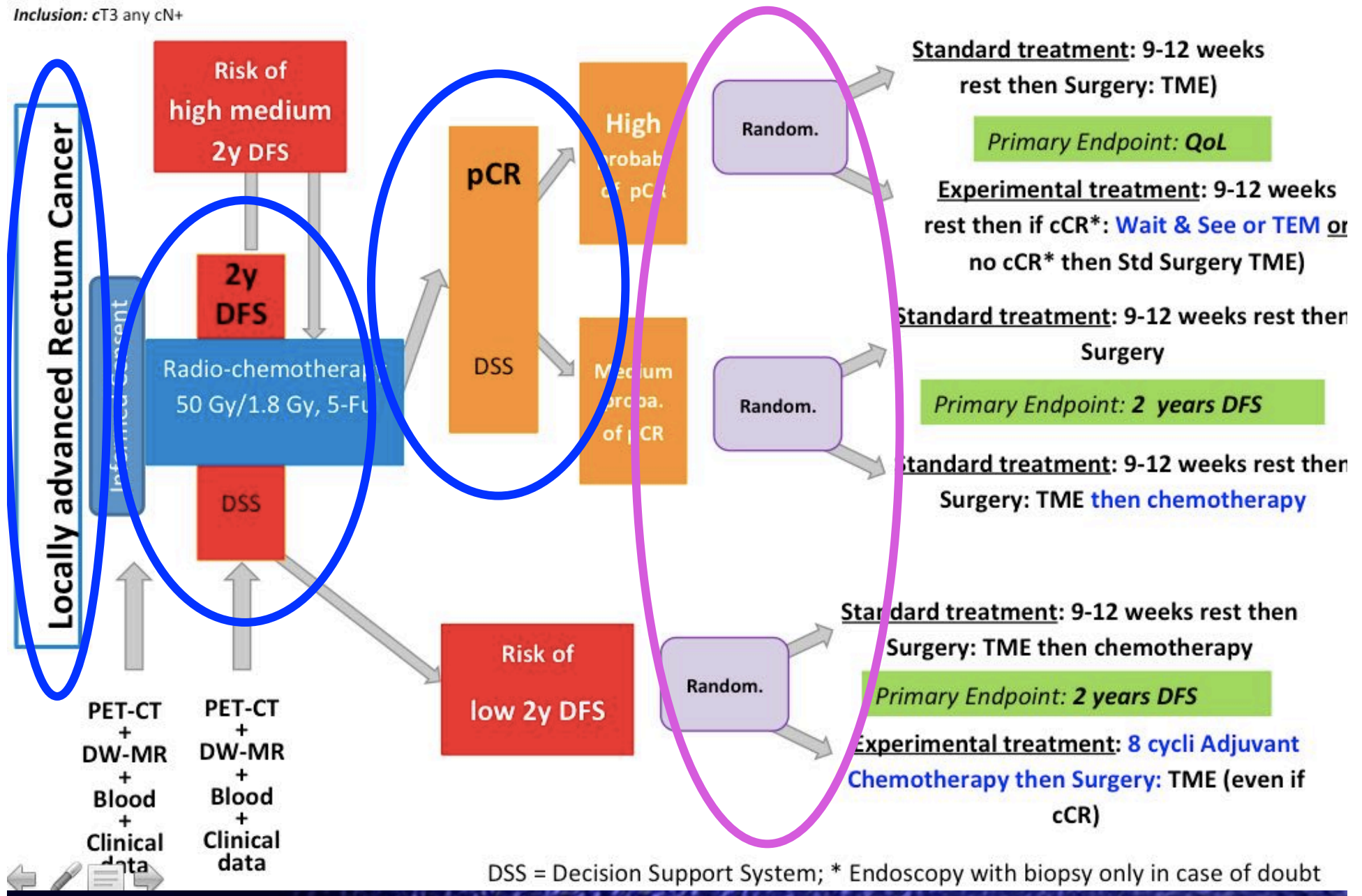
THUNDER-2 Trial Framework

Inclusion: cT3 any cN+



THUNDER-2 Trial Framework

Inclusion: cT3 any cN+



Support to Clinical Decision

INTENSIFICATION

Neoadjuvant
regimen

ADAPTIVE 1

Surgery procedure

ADPTIVE 2

Adjuvant Chemo
Follow-up density

RT_±CT

Surgery

cStad

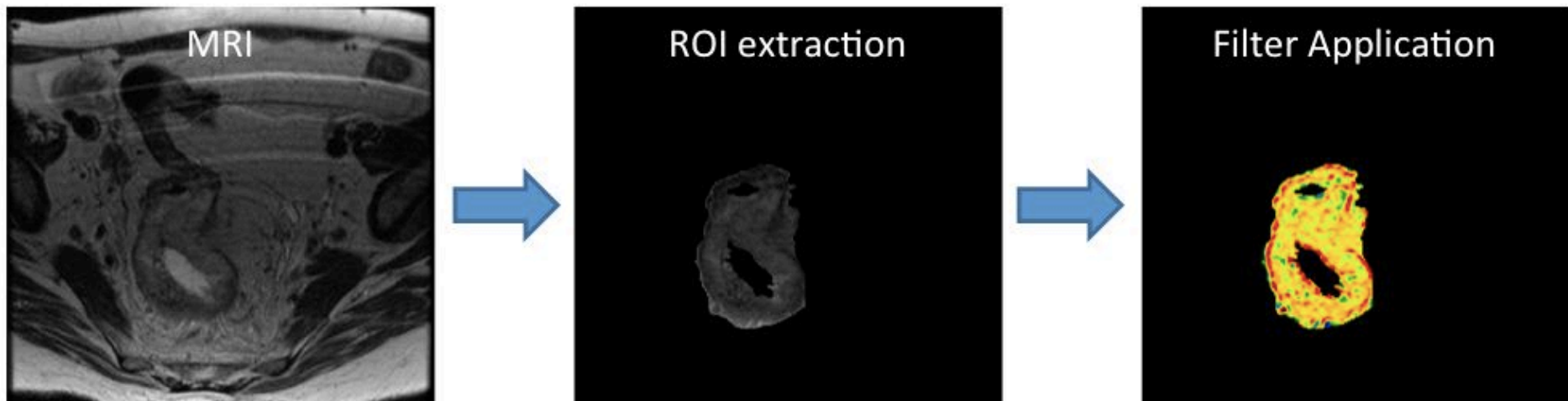
yStad

ypStad

MRI

Personalization by prediction models

Pre-process RAW signal for enhancing **tumor texture**



Personalization by prediction models

Multivariate analysis by logistic regression with following entry variables:

- 1) cT
- 2) cN
- 3) GTV Volume
- 4) GTV Surface
- 5) Equivalent Sphere Volume / GTV Surface
- 6) Entropy $\sigma = 0.49$
- 7) Skewness $\sigma = 0.69$

Final model:

DATA SET: 176 patients

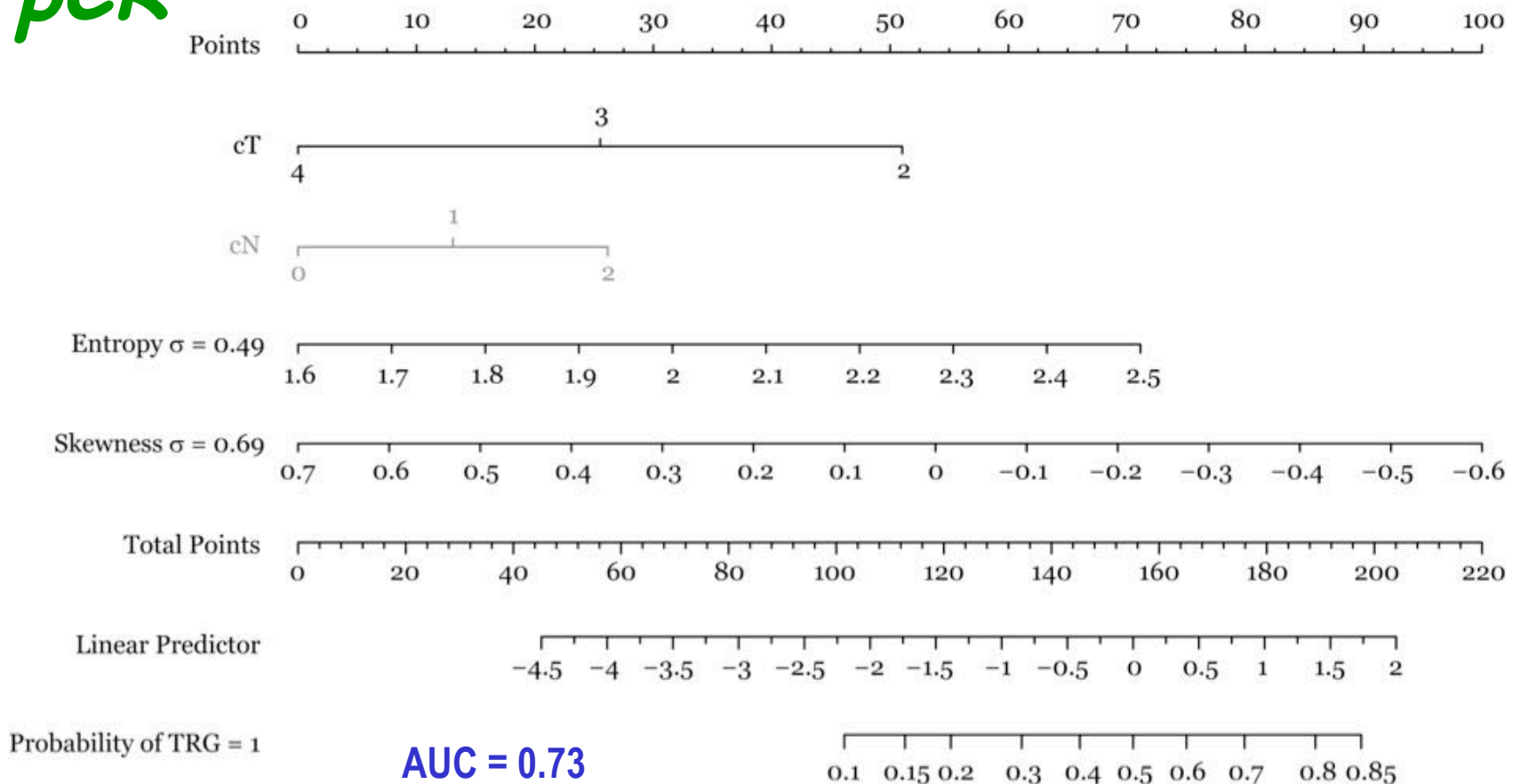
Coefficients:

	Estimate	Std. Error	z value	Pr(> z)
(Intercept)	-5.1466	3.9229	-1.312	0.18954
cT	-1.0442	0.3584	-2.913	0.00358 **
cN	0.5350	0.3412	1.568	0.11689
Entr. Sigma. 0.49	3.2354	1.6420	1.970	0.04880 *
Skew. Sigma. 0.69	-3.1480	1.1601	-2.714	0.00666 *

Signif. codes: 0 '****' 0.001 '***' 0.01 '**' 0.05 '.' 0.1 ' ' 1

Personalization by prediction models

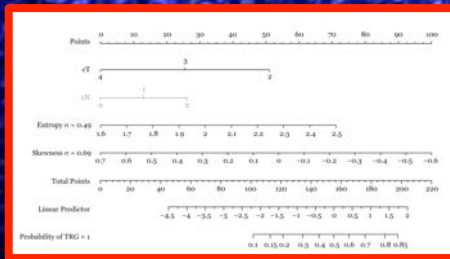
pCR



Support to Clinical Decision

INTENSIFICATION

Neoadjuvant regimen



MRI

ADAPTIVE 1

Surgery procedure



RT+CT

yStad

ADPTIVE 2

**Adjuvant Chemo
Follow-up density**

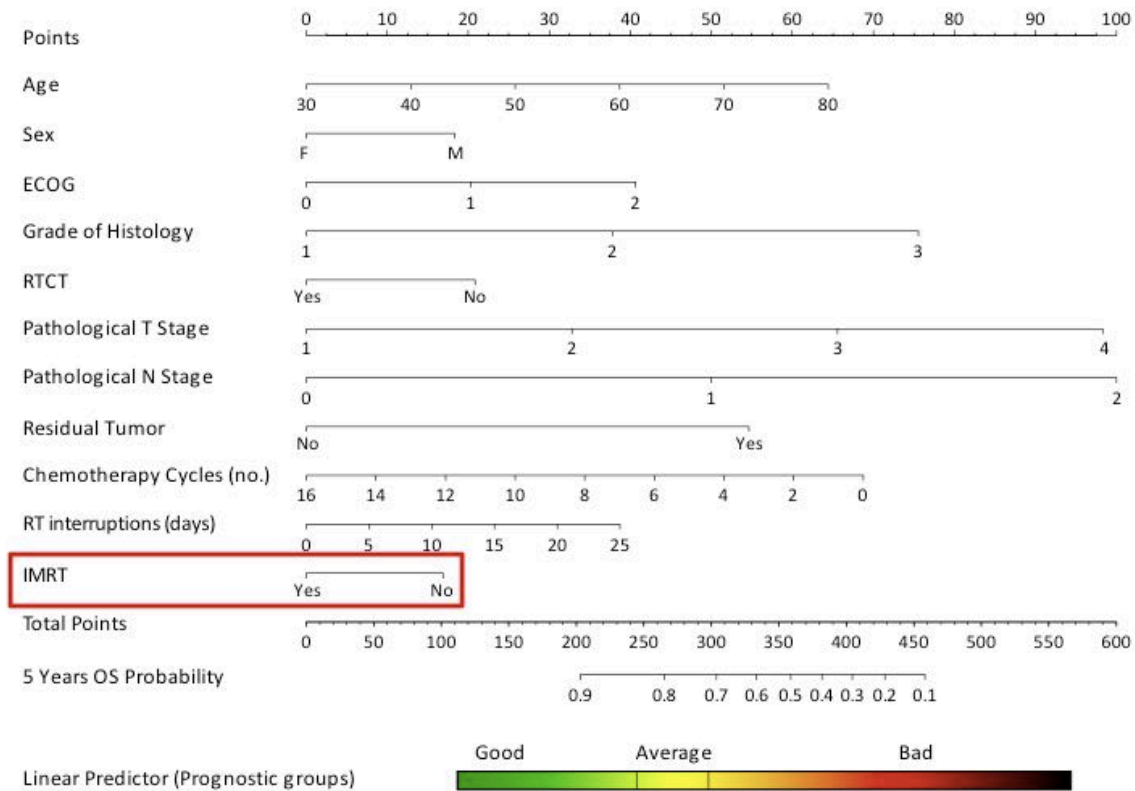


Surgery

ypStad

Postoperative RT in rectal cancer

- Cancer Institute Beijing 1798 pts



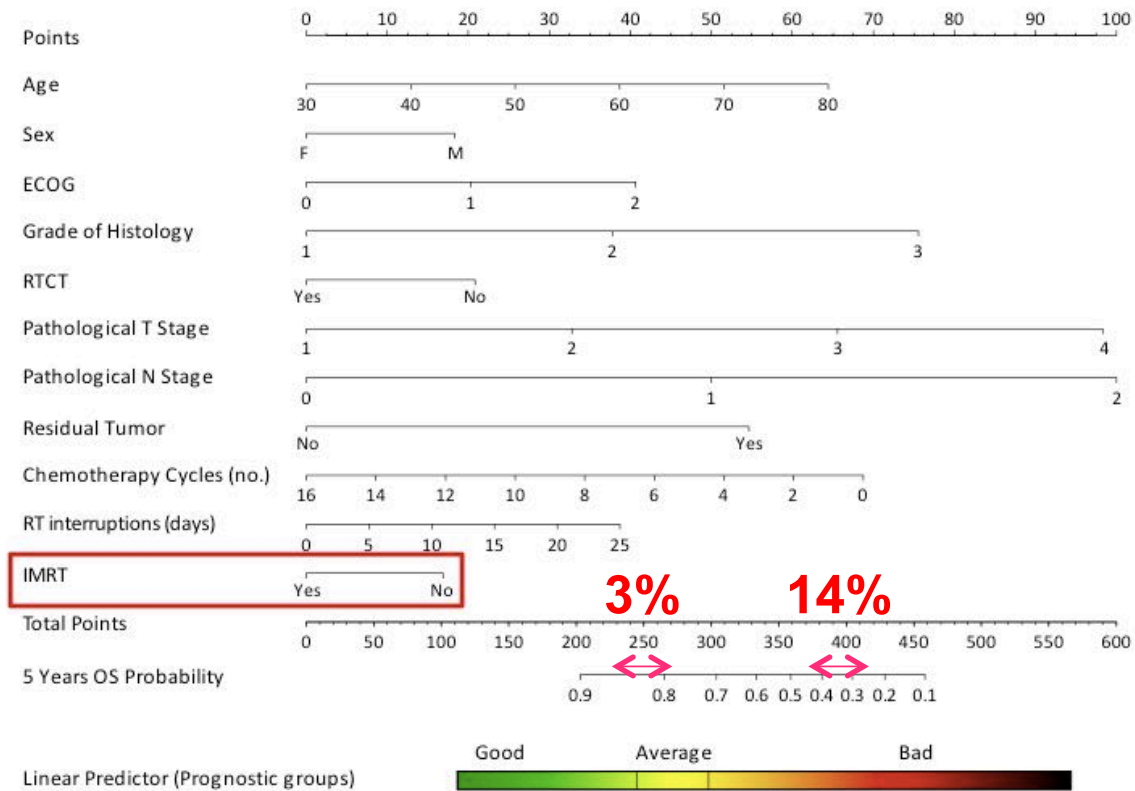
Personalization by prediction models

	Age	Sex	ECOG	Grade	RTCT	pT	pN	Residual tumor	CT cycles	RT stop days	IMRT	Pearson Test
Age	1,00	0,07	0,09	-0,07	-0,11	-0,05	-0,09	-0,02	-0,21	0,00	-0,02	-1,00
Sex	0,07	1,00	0,02	-0,01	0,00	0,02	-0,04	0,01	0,01	-0,03	0,04	-0,80
ECOG	0,09	0,02	1,00	0,05	-0,03	0,05	0,04	0,04	-0,08	0,07	0,06	-0,60
Grade	-0,07	-0,01	0,05	1,00	0,04	0,05	0,29	0,14	0,06	-0,01	0,07	-0,40
RTCT	-0,11	0,00	-0,03	0,04	1,00	0,05	0,07	-0,01	0,11	-0,01	0,18	-0,20
pT	-0,05	0,02	0,05	0,05	0,05	1,00	0,01	0,13	0,06	0,01	0,04	0,00
pN	-0,09	-0,04	0,04	0,29	0,07	0,01	1,00	0,14	0,28	0,01	0,06	0,20
Residual tumor	-0,02	0,01	0,04	0,14	-0,01	0,13	0,14	1,00	0,04	0,01	0,01	0,40
CT cycles	-0,21	0,01	-0,08	0,06	0,11	0,06	0,28	0,04	1,00	-0,01	0,11	0,60
RT stop days	0,00	-0,03	0,07	-0,01	-0,01	0,01	0,01	0,01	-0,01	1,00	0,02	0,80
IMRT	-0,02	0,04	0,06	0,07	0,18	0,04	0,06	0,01	0,11	0,02	1,00	1,00

Sig. level > 0.2: no significant correlation among IMRT and other covariates

Postoperative RT in rectal cancer

- Cancer Institute Beijing 1798 pts



Knowledge Based Oncology

Guidance for Industry and FDA Staff

Guidance for the Use of Bayesian Statistics in Medical Device Clinical Trials

Document issued on: February 5, 2010

Personalization by prediction models

<u>Author</u>	<u>Journal</u>	<u>Institution</u>	<u>N° pts</u>	<u>Topic</u>	<u>Website</u>
<u>van Stiphout RG</u>	<u>Radiother Oncol</u> , 2011	MAASTRO-UCSC <u>Leuven-Rovigo</u>	953	<u>pCR</u>	Y
Valentini V	JCO, 2011	UCSC- MAASTRO	2.795	LR, DM & OS	Y
<u>Wang SJ</u>	<u>Ann Surg Oncol</u> , 2011	Oregon University	42.830	OS	Y
<u>Bowles TL</u>	<u>Dis Colon Rectum</u> , 2013	<u>MDAnderson</u>	22.610	OS	Y
Russell MC	<u>JAMA Surg</u> , 2013	<u>MDAnderson</u>	85.190	R+	N
<u>Peng J</u>	<u>PLoS One</u> , 2014	<u>Fudan University</u> Shanghai	917	LR, DM & OS	N
<u>van Stiphout RG</u>	<u>Radiother Oncol</u> , 2014	MAASTRO-UCSC	190	<u>pCR</u>	N
<u>Jwa E</u>	Br J Cancer, 2014	Ulsan College of Medicine, Seoul	1.149	<u>ypN</u>	N
<u>van Gijn W</u>	<u>Ann Oncol</u> , 2015	Leiden University	2.281	DM & OS	N
<u>Battersby N</u>	Gut, 2015	Danish and UK	1.401	<u>bowel dysfunction</u>	N

From Data Mining to Prediction Model

- Clinical decision and covariates
- Prediction models in rectal cancer
- Transferability metric

Accuracy and Generalizability

Measurement	Possible method	Measures?
Accuracy	Brier score	Predicted outcomes match observed?
Discrimination	Area under ROC	How well can we threshold predicted outcomes?
Calibration	Hosmer-Lemeshow	How do predicted values compare to subgroups of patients? (low/medium/high probability)
Generalizability	DDM	Can we apply it to other datasets?
Reproducibility	DDM approx. 0.5	Similar population
Transferability	DDM < 0.5 >	Different population

External validation

External validation of the Neoadjuvant Rectal (NAR) Score and Rectal Cancer Prediction nomograms: A Multi-Centre study

S. Raissouni, J. Mercer, G. Gresham, A. Kumar, R.
Goodwin, M. Jiang, A. Leung, D.Y. Heng, P.A. Tang, C.
Doll, A. MacLean, E. Powell, J. Price Hiller,
J. Monzon, W.Y. Cheung, M.M. Vickers

ABSTRACT 3532

PRESENTED AT THE 2014 ASCO ANNUAL MEETING. PRESENTED DATA IS THE PROPERTY OF THE AUTHOR.



Personalization by prediction models

Conclusions - Raissouni

- In a non-clinical trial population:
 - VPN predicted clinical outcomes (LR, DR, OS)
 - NAR was superior than pCR in predicting OS
 - May be a better endpoint than pCR in early phase clinical trials of LARC
 - VPN is better than NAR in discriminating clinical outcomes
 - Likely due to incorporation of other variables in VPN (type of surgery, chemotherapy, etc)

Personalization vs prediction models

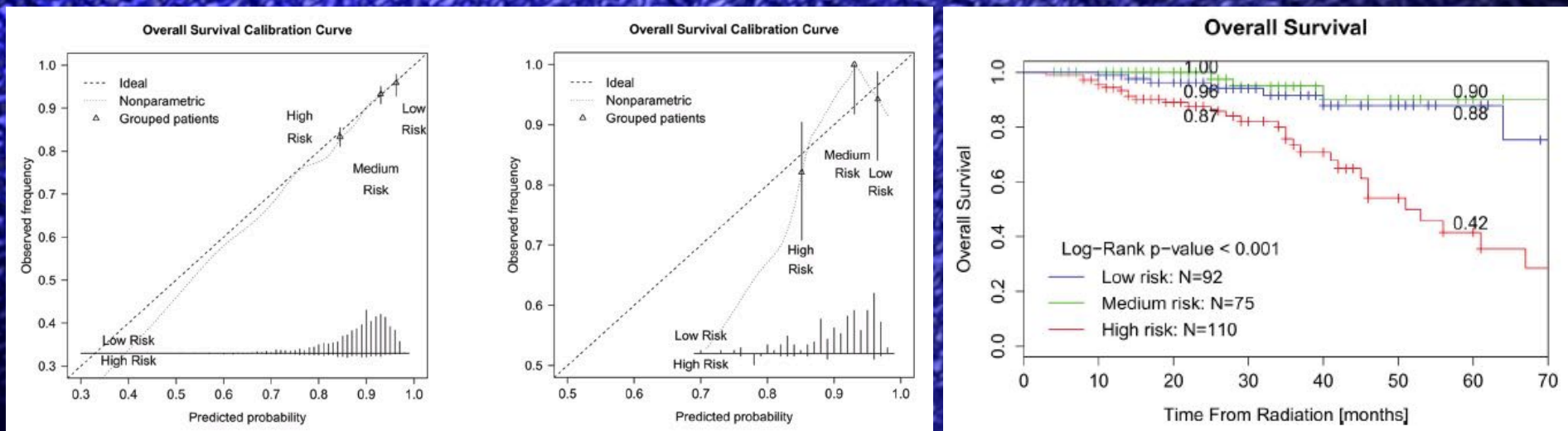
Rectal Cancer

- Some of the Unknowns/Controversies
 - Who benefits from therapy beyond surgery alone
 - Who should get adjuvant chemotherapy after chemoRT and surgery
- None of these studies answer these questions
- Models may be more useful to be a surrogate in a trial to get answer faster
- We have more work to do to avoid treating patients who do not need it

External validation

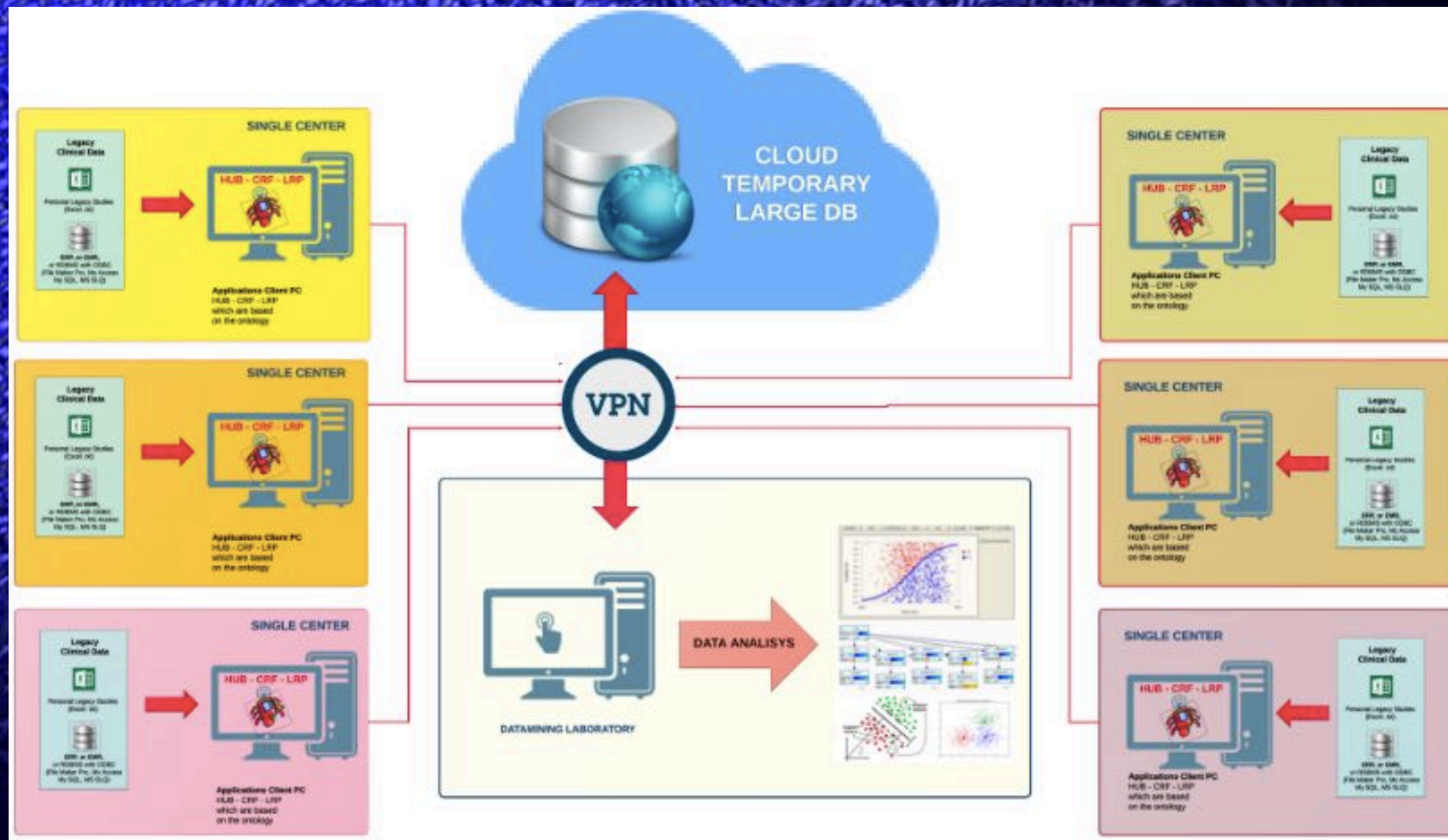
Fudan University Shanghai Cancer Center, China

277 pts



C-index = 0.72

EURECCA Italy: network for data mining



The issue of medicine

Medicine

is a **science of uncertainty**
and an **art of probability**



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