Neoadjuvant chemoradiotherapy followed by esophagectomy in patients with locally advanced esophageal cancer: the experience of department of radiation oncology IRCCS-ASMN Reggio Emilia


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## Purpose

To evaluate retrospectively the overall survival (OS), disease freesurvival (DFS) and toxicity in patients with locally advanced esophageal cancer (EC) who underwent neoadjuvant chemoradiotherapy (NCRT) followed by esophagectomy


## Methods and Materials

25 patients, 3 females and 22 males, candidated by the multidisciplinary team to NCRT followed by esophagectomy between 2009 and 2014.

All included patients had locally advanced disease (c T3-T4, N1-N3, M0) of thoracic or abdominal esophagus, diagnosed with EGDS and biopsy and staged with EUS, CT of the chest and abdomen and 18F-FDG-PET /TC.

## Patient's characteristics

| Mean Age | 63 years (range 32-78) |
| :--- | :--- |
| Follow up | 21 months (range $6-60$ ) |
| Gender | 22 males and 3 females |
| Histology | ADK: 19; SCC: 6 |
| Tumor location | GEJ:11; lower esophagus: 8; mid esophagus: 6 |
| CT Stage | T3: 20; T2: 5 |
| CN Stage | N1: 14; N2: 7; N0: 4 |
| TNM Stage | IIIA $13(T 3 N 1: 11 ;$ T2N2: 2) III B $5(T 3 N 2: 5)$ <br> IIB 7(T3NO: $4 ;$ T2N1:3) |

## Target volume delineation

## GTV



CTV


PTV


GTV includes visible primary tumor and pathological nodes (CT scans, PET, Endoscopy and EUS.)


CTV: area of subclinical involvement around GTV , defined with a radial expansion of 1-1,5 cm, and 3-5 cm longitudinally, along the length of esophagus and cardia. Including Elective lymph node stations.

PTV: CTV + isotropic expansion of 0,6-1 cm

## Planning and Delivery

RT was delivered using intensity modulated radiation therapy (IMRT) with two different techniques:
dMLC-IMRT technique for 15 patients (60\%) using LINAC 600CD - LINAC 2100CD


Helical Tomotherapy for 10 patients (40\%)


The prescribed dose was 45-50.4 Gy, administered in 25-28 daily fractions (1.8 Gy/fraction) (100\% dose prescribed to at least 95\% PTV)

## Trimodality treatment

Chemotherapy was administered concurrently using
platinum based regimen in association with fluoropyrimidine or taxanes.

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-Cisplatin \(75-100 \mathrm{mg} / \mathrm{m}^{2}\) on days 1 and 29 + Fluorouracil \(750-1000 \mathrm{mg} / \mathrm{m}^{2}\) continuous infusion
over 24 hours daily on days 1-4 and 29-32-35-day cycle.
-Paclitaxel \(50 \mathrm{mg} / \mathrm{m}^{2}\) on day \(1+\) Carboplatin AUC2 on day 1 Weekly for 5 weeks.
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Esophagectomy was performed in 20 patients; in 5 cases surgery was excluded because of disease progression, worsening of clinical conditions or patient refusal.

Ivor Lewis Esophagectomy


## Results

The final sample size included 20 patients who completed trimodality treatment


1 - year OS: 94.7\%
3 - year OS: 63.3\%


1 - year DFS: 94.4\%
3 - year DFS: 74.4\%

## Results

Clinical response (cR) to NCRT was evaluated with esophagoscopy, CECT, EUS and PET, if necessary, before surgery, comparing pre-NCRT data with those obtained postNCRT.

Partial or complete clinical response(cR) was achieved in 16 patients (80\%).


## Results

Pathologic response ( pR ) evaluation was performed by analyzing surgical specimens and was achieved in 15 patients ( $75 \%$ ). In particular pathological complete response was obtained in 3 of them (15\%).

## Tumor Regression Score



## Tumor recurrence: loco-regional and distant - failure

Local failure was considered to arise from residual malignant cells at the primary
tumor site or regional lymph nodes (LNs) of the esophagus. Distant failure included non-regional LNs, serosal spread and hematogenous recurrences.

- 1 patient (5\%) experienced loco-regional failure and synchronous distant metastases.
-3 patients (15\%) developed distant metastases

4 patients died (20\%): 3 from esophageal cancer (15\%) and 1 from hematological toxicity related to chemotherapy (5\%).

## Toxicity

CTCAE 4.02

- Acute toxicity of NCRT $\leq$ G2 observed in 16 patients (80\%).
- G3-4 in 3 patients (15\%)
- One patient developed acute

- G0
- G1
- G2
- G3
- G4
- G5 complication G5

The complication in postoperative day 90 were:

- anastomotic site leakage in 1 patient (5\%)
- and anastomotic site stricture in 1 patient (5\%).


## Conclusions

In our experience, despite the limitations of a retrospective analysis and a small sample size, neoadjuvant chemoradiotherapy followed by esophagectomy, in selected patients with locally advanced esophageal cancer, provided good local control and overall survival, with acceptable toxicity.

As only a minority of patients developed locoregional relapse, future strategies shoud aim at preventing or treating early systemic disease.

Combination of chemoradiation with novel targeted agents may improve both locoregional and systemic control, ultimately resulting in prolonged survival.

## Thank you for your attention



